

REGULATORY ADVISORY SERVICES

COMPLIANCE LIBRARY

1071 - Ready, Set, Go
2020 UDAAP – Are All Your Bases Covered?
2021 Debt Collection Changes
2022 BSA/AML/OFAC Annual Training - Part 1
2022 BSA/AML/OFAC Annual Training - Part 2
2024 & 2025 – A Time for Review
2025 BSA/AML/OFAC Annual Training Part I
2025 BSA/AML/OFAC Annual Training Part II
2025 End of Year Review
A.I. and Banking
Ability to Repay
Adverse Action Notices Done Right
Adverse Action Notices - Help Consumers and Help Your Bank
Advertising Compliance - Rules and Pitfalls to Avoid
Advertising Deposit and Non-Deposit Products
Advertising Lending Products: Do's and Don'ts
AI & the BSA/AML Risk Assessment: What Compliance Officers Need to Know in 2026
Agency Resources and Updates - Forum
Annual Board Training (Get It Done Right)
April 2022 Forum: Capco Academy Blackboard
Appraisals and Valuations
Appraisal Compliance Update 2022
Appraisal Compliance Update 2023
Appraisal Forum
Are You in a Privacy Conundrum?
A Look at Finance Charges, APR & Amount Financed: A Refresher
A Refresher on Debt Collection under the FDCPA
Avoiding Pitfalls in Advertising: What We See and What You Should Do

Bank Fees
Bank Junk Fees
Bankers and Brokers – Unlicensed Securities Sales and Lending on Securities
Banking Scams and Schemes
Basics of Beneficial Ownership
Board of Directors Responsibilities
BSA – Back to Basics
BSA and Human Trafficking
BSA and SARs - Avoiding Critical Mistakes
BSA Annual Training Update - Something Different on the Horizon
BSA/AML/OFAC Annual Training - Part I
BSA/AML/OFAC Annual Training - Part II
Cannabis Banking - Part I: The Basics
Cannabis Banking – Part II: Risk Identification and Risk Management
CDD: Beyond the Basics
Climate Change & ESG: What Financial Institutions Need to Know
Changed Circumstances and Tolerances - A Review
Check 21 – Collection and Clearing of Checks
Commercial Lending 2025 – Part I
Commercial Lending 2025 – Part II
Compliance & Commercial Lending 2026 – Part I
Compliance & Commercial Lending 2026 – Part II
Compliance & Commercial Lending 2026 – Part III
Commercial Lending and Flood
Complaint Management 2024
Compliance Aspects of Consumer Reports
Compliance Examination Readiness
Compliance for Commercial Lending
Compliance Management System
Compliance Roundhouse
Compliance with Regulation GG - Unlawful Internet Gambling Enforcement Act
Consumer Access to Financial Records –Sec. 1033
Consumer Compliance Regulations in Banking and Digital Currency
CRA Data Reporting for 2022
CRA Public Evaluation Deep Dive
CRA Public Evaluations – What You Should Know
CRA Refresher 2025
CRA Reporting/Updates- Intermediate Banks
CRA Reporting/Updates- Small Banks
CRA Reporting/Updates-Large Banks Part 1
CRA Reporting/Updates: Large Banks - Part 2
CRA Updates - OCC & FRB Rulemakings
CRA: Present and Future

Credit Cards-What You Need to Know
Cryptocurrencies, Blockchains and Risk Management
Cybersecurity Update - 2020
December Forum: The New QM Rules - What You Need to Know
Discrimination in Banking
Disparate Impact Rulemaking & Risks
ECOA - Top Ten Common Errors
Elder Financial Abuse – Latest Guidance on What Financial Institutions Need to Know
Elder Financial Exploitation & Fincen Advisory FIN 2022-A002
Elder Financial Exploitation: Trends, Methods, Detection and Reporting
Enhanced Due Diligence
Enterprise Risk Management
Enterprise Risk Management (ERM) Program Development
Environmental, Social, and Governance
Error Resolution Compliance - From E to Z
Escrow Compliance – Do’s and Don’ts
E-sign: Does It Need Modernization?
Escrow – The Basics and Beyond
Escrow...Topic, Tips, and Tools
Examining Major Trends in Fraud - An Update on Fraud at Financial Institutions
Fair Credit Reporting Act Compliance in the Age of COVID-19
Fair Credit Reporting Act – Data Integrity
Fair Lending I
Fair Lending II
Fair Lending and Servicing
Fair Lending Hot Topics
FCRA Dos and Don'ts
FCRA – Affiliates and Sharing with 3rd Parties
FCRA – Financial Institution Responsibilities in 2025
FCRA – Making Sure You Are Compliant
FDIC Insurance Forum
February 2022 Forum: Supervisory Expectations
Federal Deposit Insurance – FDIC and NCUA
FinCEN Guidance – Recent Updates
FinTech Banking Landscape and Compliance Considerations
Flood Calculator
Flood Compliance Beyond the Basics: Hidden Risks, Common Failures and Examiner Expectations
Flood Insurance Compliance – Best Practices
Flood Insurance Requirements 2024
Forgotten Laws and Regulations – CERCLA and Regulations R and U
Forum: FDIC Signage
Getting Ready for HMDA Reporting
Governance, Risk, and Compliance Solutions Insights

High Cost and Higher Priced Loans-What You Need To Know
HMDA 2021 – Where Do You Stand?
HMDA 2022
HMDA 2022 – Time to Prepare
HMDA 2023
HMDA 2024 – Are You Ready?
HMDA 2025: Happy New Year
HMDA Revised AGAIN - What You Need to Know Now
How to Build an Effective Compliance Management System
Identity Theft 2022
July 2021 Forum: Suspicious Activity-Spotting, Reporting, Sharing
July 2022 Forum: Revised & Updated Flood Q&As
June 2021 Forum: CFPB Rescinded Policy Statements and New Supervisory Standard
June 2022 Forum-A Look at the Interagency Community Reinvestment Act Notice of Proposed Rule Making
KBYO Amendments: How You Can Ensure Compliance
Lessons from Recent Regulatory Enforcement Actions
Loan Originator Compensation – 10 years later
Loan Servicing No Nos – What They Are and How to Avoid Them
Managing Compliance Risks of Incentive Programs
Managing Overdrafts for Compliance
Managing Your Examination
Marijuana Banking and BSA
Marijuana, Hemp, Cannabis What Bankers Should Know
Mastering E-Sign: Navigating Compliance in the Digital Age
May Forum: CFPB UDAAP Updates
Mortgage Fraud
Mortgage Servicing: Part I
Mortgage Servicing: Part II
Mortgage Servicing Rules Effective April 2018
Navigating NDIPs: A Primer for Banks, Credit Unions and Compliance Teams
Navigating the Regulation E 2021 FAQs Webinar
November Forum: RESPA – Prohibitions on Kick-backs and Unearned Fees
OCC’s CRA Final Rule – What You Need to Know Now
October 2021 Forum: Small Business Lending Data Collection Proposed Rule
OFAC and USA Patriot Act – Obligations and Duties
Overdraft Compliance 2.0
Overdraft Protection Compliance
Overdraft Protection Products
Overdraft Protection Programs
Past Due & Defaulted Borrower - What to Do
Payday and Small Dollar Lenders
Planning for a Return to the Workplace - Creating a COVID-19 Focused RCM Program

Policy and Procedure Drafting: What You Need to Know
Preparing for Section 1033 in the Future of Open Banking
Privacy: Disclosure of NPI & Safeguarding the Confidentiality
Private Flood Insurance – Are You Getting It Right?
Quality Control – Not Just Compliance
Qualified Mortgages in 2023
Recertification of Value (ROV) - Guidance and Compliance Forum
Registration, Compensation and Kickbacks for Mortgage Loans
Reg B and Lending During Covid-19
Regulation CC
Regulation CC – 2020 Updates
Regulation CC – A Refresher and Update for 2025
Regulation CC: Funds Availability Training
Regulation CC: Some Basics, Exception Holds, and Pitfalls for the Unwary
Regulation D – A Refresher and a Look at the New Changes
Regulation E EFT Basics: From Nuts to Bolts
Regulation E – Error Resolution Compliance
Regulation O: Still a Regulation
Regulation P & Beyond: Privacy Compliance in a Digital Banking World
Regulation Z – Error Resolution – When Consumers Dispute Merchant Transactions
Regulatory Change Management – Best Practices
Remittance Transfers – Changes in 2020
Remote Deposit Capture – Basics
RESPA Section 8: Hidden Risks, Marketing Relationships, and Examiner Expectations
Revisiting HMDA – 2020
Right to Financial Privacy Act and Reimbursement for Providing Financial Records
Risk Assessments and Compliance Audits
Section 1071-What You Need To Know 2022
Section 1071 – What You Need To Know 2023
September 2022 Forum: Regulation O
Setting the Table for 2022
Sexual Harassment in Lending and Housing
Small Business Lending – What’s Next for Section 1071?
Small Creditors and Small Servicer - Applicability and Exceptions
Social Media: Regulations and Considerations
Teller Compliance
The E-SIGN Act and Electronic Signatures
Third – Party Vendor Management
TILA – RESPA Integrated Mortgage Disclosures
Top Enforcement Actions of 2022
TRID Closing Cost Errors/ Changed Circumstances
TRID Construction
TRID Construction Loan Guidance - The Latest

TRID Placement of Fees
Truth in Savings Disclosure and Advertising Primer
Truth in Savings – Disclosures
UDAAP Unraveled: A Comprehensive Guide to Compliance
Understanding the New Trump Administration: Policies, Priorities, and Implications
URLA...Final Frontier?
Vendor Management – The Risk in Relationships
Vendor Management Fundamentals for Bankers and Compliance Officers
Vendor Risk Management in a Post Dodd-Frank World
Virtual Currency – The Regulatory Framework
Website Compliance
What to Expect in 2024
What's New In Prepaid
What's New with ECOA and Regulation B